

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112300-1411

In Re Application Of: **Anthony J. Baerlocher**

Serial No.
10/649,091

Filing Date
August 27, 2003

Examiner
Unknown

Group Art Unit
3711

Title: **GAMING DEVICE HAVING A MULTIPLE SELECTABLE INDICATOR GAME**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112300-1411

In Re Application: **Anthony J. Baerlocher**

Serial No.

10/649,091

Filing Date

August 27, 2003

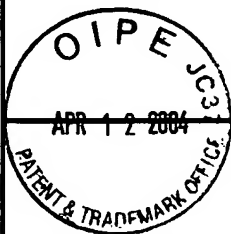
Examiner

Unknown

Group Art Unit

3711

GAMING DEVICE HAVING A MULTIPLE SELECTABLE INDICATOR GAME



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on **April 7, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Signature

Dated: **April 7, 2004**

Adam H. Masia (Reg. No. 35,602)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

(312) 807-4284

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher
Appl. No.: 10/649,091
Conf. No.: 9259
Filed: August 27, 2003
Title: GAMING DEVICE HAVING A MULTIPLE SELECTABLE INDICATOR
GAME
Art Unit: 3711
Examiner: Unknown
Docket No.: 0112300-1411

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,448,419	May 15, 1984	Telnaes
4,560,161	December 24, 1985	Hamano
5,205,555	April 27, 1993	Hamano
5,342,047	August 30, 1994	Heidel et al.
5,542,669	August 6, 1996	Charron et al.
5,647,798	July 15, 1997	Falciglia
5,788,573	August 4, 1998	Baerlocher et al.
5,823,874	October 20, 1998	Adams
5,848,932	December 15, 1998	Adams
5,882,261	March 16, 1999	Adams
5,911,418	June 15, 1999	Adams
5,947,820	September 7, 1999	Morro et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,015,346	January 18, 2000	Bennett
6,059,658	May 9, 2000	Mangano et al.
6,089,976	July 18, 2000	Schneider et al.
6,089,978	July 18, 2000	Adams
6,142,873	November 7, 2000	Weiss et al.
6,149,156	November 21, 2000	Feola
6,159,097	December 12, 2000	Gura
6,159,098	December 12, 2000	Slomiany et al.
6,162,121 B1	December 19, 2000	Morro et al.
6,168,520	January 2, 2001	Baerlocher et al.
6,174,235 B1	January 16, 2001	Walker et al.
6,190,255 B1	February 20, 2001	Thomas et al.
6,224,483 B1	May 1, 2001	Mayeroff
6,231,442 B1	May 15, 2001	Mayeroff
6,234,897 B1	May 22, 2001	Frohm et al.
6,254,481 B1	July 3, 2001	Jaffe
6,261,177 B1	July 17, 2001	Bennett
6,309,300 B1	October 30, 2001	Glavich
6,315,660 B1	November 13, 2001	DeMar et al.
6,315,664 B1	November 13, 2001	Baerlocher et al.
6,319,123 B1	November 20, 2001	Paludi
6,319,124 B1	November 20, 2001	Baerlocher et al.
6,322,309	November 27, 2001	Thomas et al.
6,334,814 B1	January 1, 2002	Adams
6,336,863 B1	January 8, 2002	Baerlocher et al.
6,338,678 B1	January 15, 2002	Seelig et al.
6,346,043 B1	February 12, 2002	Colin et al.
6,347,996 B1	February 19, 2002	Gilmore et al.
6,364,766 B1	April 2, 2002	Anderson et al.
6,364,767 B1	April 2, 2002	Brossard et al.
6,375,187 B1	April 23, 2002	Baerlocher et al.
6,439,995 B1	August 27, 2002	Hughs-Baird et al.
6,443,837 B1	September 3, 2002	Jaffe et al.

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,450,884 B1	September 17, 2002	Seelig et al.
6,464,582 B1	October 15, 2002	Baerlocher et al.
6,506,118 B1	January 14, 2003	Baerlocher et al.
6,508,707 B2	January 21, 2003	DeMar et al.
6,533,660 B1	March 18, 2003	Seelig et al.
6,561,904 B2	May 13, 2003	Locke et al.
6,575,830 B2	June 10, 2003	Baerlocher et al.
6,582,307 B2	June 24, 2003	Webb
6,599,185 B1	July 29, 2003	Kaminkow et al.
6,602,135 B1	August 5, 2003	Gerrard
6,602,137 B2	August 5, 2003	Kaminkow et al.
6,607,437 B2	August 19, 2003	Casey et al.
6,656,043	December 2, 2003	Seelig et al.
2002/0142822	October 3, 2002	Baerlocher et al.
2003/0040360	February 27, 2003	Kaminkow
2003/0045348	March 6, 2003	Palmer et al.
2003/0045350	March 6, 2003	Baerlocher et al.
2003/0119583	June 26, 2003	Kaminkow et al.
2003/0162584	August 28, 2003	Hughs-Baird et al.
2003/0195027	October 16, 2003	Baerlocher et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
GB 2 201 821	September 7, 1988	Great Britain

OTHER DOCUMENTS

“American Bandstand Article” in Slot City Times published 2001.

Anchor Games Unveils ‘CITY OF SLOTS’, Anchor Games Slot City Times, October 2001

Austin Powers in Goldmember™ Advertisement written by IGT, published in 2003.

Magic 8 Ball Advertisement written by IGT, published in 2002.

Monte Carlo Advertisement written by Bally Gaming, published prior to September 12, 2002.

Slot Machines [In Part] written by Marshal Fey, published 1997.

Wheel of Fortune Advertisement written by IGT, published in 1998.

Wheel of Fortune Advertisement written by IGT, published in 1999.

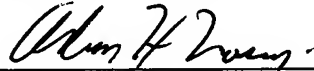
Wheel & Deal Brochure written by Anchor Games, Strictly Slots, published in December 2001.

Wheel Poker Brochure written by Anchor Games/Bally Gaming, Strictly Slots, prior to September 12, 2002.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia

Reg. No. 35,602

P.O. Box 1135

Chicago, Illinois 60690-1135

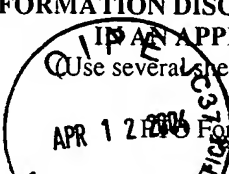
Phone: (312) 807-4284

Dated: April 7, 2004

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) APR 12 2004 PTO Form 1449	Atty Docket No. 0112300-1411	Application No. 10/649,091
	Applicant Anthony J. Baerlocher	
	Filing Date August 27, 2003	Group 3711

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,448,419	May 15, 1984	Telnaes			
	4,560,161	December 24, 1985	Hamano			
	5,205,555	April 27, 1993	Hamano			
	5,342,047	August 30, 1994	Heidel et al.			
	5,542,669	August 6, 1996	Charron et al.			
	5,647,798	July 15, 1997	Falciglia			
	5,788,573	August 4, 1998	Baerlocher et al.			
	5,823,874	October 20, 1998	Adams			
	5,848,932	December 15, 1998	Adams			
	5,882,261	March 16, 1999	Adams			
	5,911,418	June 15, 1999	Adams			
	5,947,820	September 7, 1999	Morro et al.			
	6,015,346	January 18, 2000	Bennett			
	6,059,658	May 9, 2000	Mangano et al.			
	6,089,976	July 18, 2000	Schneider et al.			
	6,089,978	July 18, 2000	Adams			
	6,142,873	November 7, 2000	Weiss et al.			
	6,149,156	November 21, 2000	Feola			
	6,159,097	December 12, 2000	Gura			
	6,159,098	December 12, 2000	Slomiany et al.			
	6,162,121 B1	December 19, 2000	Morro et al.			
	6,168,520	January 2, 2001	Baerlocher et al.			
	6,174,235 B1	January 16, 2001	Walker et al.			
	6,190,255 B1	February 20, 2001	Thomas et al.			
	6,224,483 B1	May 1, 2001	Mayeroff			
	6,231,442 B1	May 15, 2001	Mayeroff			
	6,234,897 B1	May 22, 2001	Frohm et al.			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)  Form 1449	Atty Docket No. 0112300-1411	Application No. 10/649,091
	Applicant Anthony J. Baerlocher	
	Filing Date August 27, 2003	Group 3711

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,254,481 B1	July 3, 2001	Jaffe			
	6,261,177 B1	July 17, 2001	Bennett			
	6,309,300 B1	October 30, 2001	Glavich			
	6,315,660 B1	November 13, 2001	DeMar et al.			
	6,315,664 B1	November 13, 2001	Baerlocher et al.			
	6,319,123 B1	November 20, 2001	Paludi			
	6,319,124 B1	November 20, 2001	Baerlocher et al.			
	6,322,309	November 27, 2001	Thomas et al.			
	6,334,814 B1	January 1, 2002	Adams			
	6,336,863 B1	January 8, 2002	Baerlocher et al.			
	6,338,678 B1	January 15, 2002	Seelig et al.			
	6,346,043 B1	February 12, 2002	Colin et al.			
	6,347,996 B1	February 19, 2002	Gilmore et al.			
	6,364,766 B1	April 2, 2002	Anderson et al.			
	6,364,767 B1	April 2, 2002	Brossard et al.			
	6,375,187 B1	April 23, 2002	Baerlocher et al.			
	6,439,995 B1	August 27, 2002	Hughs-Baird et al.			
	6,443,837 B1	September 3, 2002	Jaffe et al.			
	6,450,884 B1	September 17, 2002	Seelig et al.			
	6,464,582 B1	October 15, 2002	Baerlocher et al.			
	6,506,118 B1	January 14, 2003	Baerlocher et al.			
	6,508,707 B2	January 21, 2003	DeMar et al.			
	6,533,660 B1	March 18, 2003	Seelig et al.			
	6,561,904 B2	May 13, 2003	Locke et al.			
	6,575,830 B2	June 10, 2003	Baerlocher et al.			
	6,582,307 B2	June 24, 2003	Webb			
	6,599,185 B1	July 29, 2003	Kaminkow et al.			
	6,602,135 B1	August 5, 2003	Gerrard			

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 0112300-1411	Application No. 10/649,091
	Applicant Anthony J. Baerlocher	
	Filing Date August 27, 2003	Group 3711

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	6,602,137 B2	August 5, 2003	Kaminkow et al.			
	6,607,437 B2	August 19, 2003	Casey et al.			
	6,656,043	December 2, 2003	Seelig et al.			
	2002/0142822	October 3, 2002	Baerlocher et al.			
	2003/0040360	February 27, 2003	Kaminkow			
	2003/0045348	March 6, 2003	Palmer et al.			
	2003/0045350	March 6, 2003	Baerlocher et al.			
	2003/0119583	June 26, 2003	Kaminkow et al.			
	2003/0162584	August 28, 2003	Hughs-Baird et al.			
	2003/0195027	October 16, 2003	Baerlocher et al.			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	GB 2 201 821	September 7, 1988	Great Britain				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	"American Bandstand Article" in Slot City Times published 2001.
	Anchor Games Unveils 'CITY OF SLOTS', Anchor Games Slot City Times, October 2001
	Austin Powers in Goldmember™ Advertisement written by IGT, published in 2003.
	Magic 8 Ball Advertisement written by IGT, published in 2002.
	Monte Carlo Advertisement written by Bally Gaming, published prior to September 12, 2002.
	Slot Machines [In Part] written by Marshal Fey, published 1997.
	Wheel of Fortune Advertisement written by IGT, published in 1998.
	Wheel of Fortune Advertisement written by IGT, published in 1999.
	Wheel & Deal Brochure written by Anchor Games, Strictly Slots, published in December 2001.
	Wheel Poker Brochure written by Anchor Games/Bally Gaming, Strictly Slots, prior to September 12, 2002.

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	